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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APatent No:

US 6,936,182

Docket No.: IL-10738

Issued:

August 30, 2005

Patentee:

Michael C. Rushford

Serial No:

10/087,493 filed 2/28/2002

For:

Method and System for Optical Figuring by Imagewise Heating of

a Solvent

#### CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)

I hereby certify that the attached correspondence comprising: 1) Request for Certificate of Correction of Patent for PTO Mistake (37 CFR §1.322(a); and 2) Form PTO/SB/44)--in duplicate--, are being deposited with the U.S. Postal Service as first-class mail in an envelope addressed to: Mail Stop: Certificate of Correction Branch, Commissioner for Patents. P.O. Box 1450, Alexandria, VIV 22313-1450.

Teresa Walls

## REQUEST FOR CERTIFICATE OF CORRECTION OF PATENT FOR PTO MISTAKE

Mail Stop: Certificates of Correction Branch Commissioner for Patents

Alexandria, VA 22313-1450

AUG 1:0 2006 Of Correct

Sir:

It is respectfully requested that the Commissioner authorize correction of an error occurring in the Issued Patent, as follows:

1. On April 23, 2004 (copies attached) Petition to Correct Inventorship in a Patent Application , Statement of Inventor being Added in support of Petition, Combined Declaration and Power of Attorney, Written Consent of the Assignee, was mailed in to add inventor John S. Toeppen as an inventor on Serial No.: 10/087,493 filed February 28, 2002. The Patent issued on 8/30/2005 without the correction.

Date: August 2, 2006

Reg. No. 46,367

Tel. No. (925) 422-7274

Respectfully submitted,

James S. Tak

Agent for Applicant



Applicant	:	Michael C. Rushford et al.	Docket No. :	IL-10738
Serial No.	:	10/087,493	Art Unit :	
Filed	:	02/28/2002	Examiner :	
For	:	Method and System for Optical Figuring by Imagewise Heating of a Solvent		

Commissioner for Patents Alexandria, VA 22313-1450

#### **CERTIFICATE OF MAILING**

I hereby certify that the *attached* correspondence comprising:

- 1. Request for Certificate of Correction of Patent for PTO Mistake (with Certificate of mailing) (Pat. #6,936,182 B1 Issued 08/30/2005)
- 2. Form PTO/SB/44 (also Form PTO -1050) duplicate
- 3. Copies of postcard, Express mail Cert., Certif. of Mailing, Petition to correct inventorship, Statement of inventor, Combined Dec. Power of Attorney, Written consent of the Assignee, Authorization to Charge Fees
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(Also Form PTO-1050)

### UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

CERTIFICATE OF CONNECTION						
Page <u>1</u> of <u>1</u>						
PATENT NO. : 6,936,182 B1						
APPLICATION NO.: 10/087,493						
ISSUE DATE : August 30, 2005						
INVENTOR(S) : Michael C. Rushford and John S. Toeppen						
It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:						
1. On the title page of the Issued Patent:						
(75) Inventor: Michael C. Rushford, Livermore, CA (US) and John S. Toeppen, Livermore, CA (US)						

MAILING ADDRESS OF SENDER (Please do not use customer number below):

James S. Tak P.O. Box 808, L-703 Livermore, CA 94550

This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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(Also Form PTO-1050)

### UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

CERTIFICATE OF CORRECTION					
Page <u>1</u> of <u>1</u>					
PATENT NO. : 6,936,182 B1					
APPLICATION NO.: 10/087,493					
ISSUE DATE : August 30, 2005					
INVENTOR(S) : Michael C. Rushford and John S. Toeppen					
It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:					
1. On the title page of the Issued Patent:					
(75) Inventor: Michael C. Rushford, Livermore, CA (US) and John S. Toeppen, Livermore, CA (US)					

MAILING ADDRESS OF SENDER (Please do not use customer number below):

James S. Tak P.O. Box 808, L-703 Livermore, CA 94550

This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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#### <u>FED STATES PATENT AND TRADEMARK OFFICE</u>

**Applicant:** Michael C. Rushford et al Attorney Docket No.:

IL-10738

Serial No.: 10/087,493

Group Art Unit: 1763

Filed:

February 28 2002

Examiner:

Roberts P. Culnert

For: Method and System for Optical Figuring by Imagewise Heating of a Solvent

#### RECEIPT IS HEREBY ACKNOWLEDGED OF THE FOLLOWING:

- 1. Petition to Correct Inventorship in the Patent Application (1 page)
- 2. Statement of Inventor being added in Support of Petition (1 page)
- 3. Combined Declaration and Power of Attorney (3 pages)
- 4. Written Consent of the Assignee (1 page)
- 5. Authorization to Charge Fee to Deposit Account (1 page)
- 6. Express Mail Certificate
- 7. Return postcard

Express Mail No.: EV283924797US

Attorney: JST/tw

Date Mailed: 423-04

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Applicant: Michael C. Rushford et al

Docket No.: IL-10738

Serial No. : 10/087,493

Art Unit:

1763

Filed

: Feb. 28, 2002

Examiner: Roberts P. Culbert

For

: Method and System for Optical Figuring By Imagewise

Heating of a Solvent

**Commissioner for Patents** Alexandria, VA 22313-1450

#### **CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)**

I hereby certify that the attached correspondence comprising:

- 1. Petition to Correct Inventorship in the Patent Application (1 page)
- 2. Statement of Inventor being added in Support of Petition (1 page)
- 3. Combined Declaration and Power of Attorney (3 pages)
- 4. Written Consent of the Assignee (1 page)
- 5. Authorization to Charge Fee to Deposit Account (1 page)
- 6. Certificate of Mailing (1 page)
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Teresa Walls

(Type or print name of person mailing paper)

(Signature of person mailing paper)





Applicant:

Michael C. Rushford, et al.

Docket No.: IL-10738

Serial No.:

10/087,493

Art Unit:

1763

Filing Date: February 28 2002

Examiner:

Roberts P. Culnert

Title:

METHOD AND SYSTEM FOR OPTICAL FIGURING BY IMAGEWISE

HEATING OF A SOLVENT

Commissioner for Patents Alexandria, VA 22313-1450

#### PETITION TO CORRECT INVENTORSHIP IN THE PATENT APPLICATION 37 CFR § 1.48(a)

It is respectfully requested that the inventorship of Patent Application Serial No. 10/087,493 filed February 28, 2002 be corrected by adding John S. Toeppen.

This patent application, as filed, through error and without deceptive intent, improperly set forth the inventorship by not naming John S. Toeppen as an inventor.

It is respectfully requested that the correct inventors for patent application Serial No. 10/087,493 filed February 28, 2002 be recorded as follows:

Michael C. Rushford and John S. Toeppen.

I understand that willful false statements are punishable under 18 U.S.C. 1001 and may jeopardize the validity of the application or any patent issuing thereon.

James S. Tak

Deputy Laboratory Counsel for Intellectual Property

Reg. No. 46,367

P.O. Box 808, L-703 Livermore, CA 94551 'AUG 11 LUUB



licant : Michael C. Rushford, et al. `

Attorney Docket No.: IL-10738

Serial No. : 10/087,493

Art Unit: 1763

Filed

: February 28 2002

Examiner: Roberts P. Culnert

For

: METHOD AND SYSTEM FOR OPTICAL FIGURING BY

IMAGEWISE HEATING OF A SOLVENT

**Commissioner for Patents** Washington, D.C. 20231

#### STATEMENT OF INVENTOR BEING ADDED IN SUPPORT OF PETITION TO CORRECT INVENTORSHIP IN THE APPLICATION (37 CFR § 1.48(d) and 37 CFR § 1.48(e))

It is agreed that I John Toeppen be added as inventor to the above-referenced patent application, Serial No. 10/087,493 filed February 28, 2002. I was left off as an inventor in error and such error arose without any deceptive intent on my part.

Date 4/22/04

### COMBINED DECLARATION AND POWER OF ATTORNEY

Declaration submitted with Initial Filing

♦ Declaration submitted after Initial Filing (surcharge (37 CFR 1.16(e)) required)

Attorney Docket: IL-10738 Applicant: Rushford et al Serial No.: 10/087,493

Filing Date: Feb. 28, 2002

As a below named inventor(s), I (we) hereby declare that:
My (Our) residence, post office address and citizenship(s) are as stated below next to my (our) name(s).
I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:  Method and System for Optical Figuring by Imagewise Heating of a Solvent
the specification of which (check one)
is attached hereto X was filed on Febuary 282003 as United States Application
Number or PCT International Application Number10/087,493
and was amended on(if applicable).
I (We) hereby state that I (we) have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.  I (We) acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.
I (We) hereby claim foreign priority benefits under 35, U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.
Prior Foreign Application(s)  Priority Not Claimed
(Application Number) (Country) (Foreign Filing Date)
I (We) hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below:
Application Serial No. Filing Date

I (We) hereby claim the benefit under 35 U.S.C. 120 of any United States applications(s), or 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

10/087,493	February 2, 2002	Pending
Application Serial No.	Filing Date	Status

**POWER OF ATTORNEY**: As the named inventor(s), I (we) hereby appoint the following registered practitioner(s) to prosecute this application, and to transact all business connected therewith, in any patent office, U.S. or foreign.

Names:	Reg. No.	Name:	Reg. No.
Alan H. Thompson	29,981	Eddie E. Scott	25,220
John H Lee	53,193	Ann M. Lee	47,741
James S. Tak	46,367	Michael C Staggs	50,938
•			
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		Direc	t Telephone Calls To:  James S. Tak

#### DECLARATION

P.O. Box 808, L-703

Livermore, California 94551

I (We) hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

First Inventor				
Michael C. Rushford	Wested Rope			
Full Name of Inventor	Signature			
Livermore, CA 94550	04/22/04			
Residence (City, State or Foreign Country)	Date			
1890 So., Livermore, CA 94550	US			
Postal Address (Street, City, State, Zip Code)	Citizenship			

Second Inventor			
John S. Toeppen	Jon V Jones		
Full Name of Inventor	Signature		
Livermore, CA 94550	4/22/04		
Residence (City, State or Foreign Country)	Date		
5271 Irene Way Livermore, CA 94550	US		
Postal Address (Street, City, State, Zip Code)	Citizenship		

Michael C. Rushford, et al.

Docket No.: IL-10738

Serial No.:

10/087,493

Art Unit:

1763

Filing Date: February 22, 2002

Examiner:

Roberts P. Culbnert

Title:-

METHOD AND SYSTEM FOR OPTICAL FIGURING BY

IMAGEWISE HEATING OF A SOLVENT

**Commissioner for Patents** Alexandria, VA 22313-1450

#### WRITTEN CONSENT OF THE ASSIGNEE (37 CFR 1.48(a)(4))

I, Alan H. Thompson, have authority to act on behalf of the Regents of the University of California, the sole assignee of the above-referenced nonprovisional patent application, Serial No. 10/087,493 filed February 28,2002, Attorney docket No. IL-10738.

As representative for the Regents of the University of California, I hereby give my consent to the requested inventorship correction of adding John Toeppen as an inventor to the above-referenced nonprovisional patent application, Serial No. 10/087,493 filed February 28,2002.

This nonprovisional application, as filed, through error and without deceptive intent, improperly set forth the inventorship by omitting John Toeppen.

Date 4.2304

Alan H. Thompson

Deputy Laboratory Counsel for Intellectual

**Property** 

Reg. No. 25,220

P.O. Box 808, L-703 Livermore, CA 94551

FAUG 11 LUUD



Applicant	:	Michael C. Rushford, et al	Docket No. :	IL-10738
Serial No.	:	10/087,493	Art Unit :	1763
Filed	:	February 2§2002	Examiner	Roberts P. Culnert
For	: METHOD AND SYSTEM FOR OPTICAL FIGURING BY IMAGEWISE HEATING OF A SOLVENT			

## AUTHORIZATION TO CHARGE FEE(S) TO DEPOSIT ACCOUNT 12-0695

Commissioner for Patents Alexandria, VA 22313-1450

Dear Sir:

Please charge Deposit Account 12-0695 the appropriate small entity fees of \$65.00 for the late filing fee for a corrected Declaration, \$130.00 for the Petition to Correct Inventorship in a Patent filed herewith. If an additional fee is required, please charge the above-mentioned account as appropriate.

Dated: 4-23-04

Respectfully submitted,

James S. Tak

Attorney for Applicant Registration No. 46,367 Tel. No. (925) 422-7274

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